

SANGUINE INSTITUTE OF FINANCIAL MARKET (SIFM)

Basics of Financial Market Syllabus

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Investment Basics

- 1.1 What is Investment?**
- 1.2 What are various options available for investments?**
- 1.3 What is meant by a Stock Exchange?**
- 1.4 What is Depository?**
- 1.5 Conclusion**

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Securities

- 2.1 What is meant by 'Securities'?**
- 2.2 Regulator**
- 2.3 Participants**
- 2.4 Conclusion**

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Primary Market

- 3.1 What is the role of the 'Primary Market'?**
- 3.2 Issue of Shares**
- 3.3 What is meant by Issue Price?**
- 3.4 What is an Initial Public Offer (IPO)?**
- 3.5 What is Prospectus?**
- 3.6 What is meant by 'Listing of Securities'?**
- 3.7 What is SEBI's Role in an Issue?**
- 3.8 Foreign Capital Issuance**
- 3.9 Conclusion**

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Secondary Market

- 4.1 Introduction
- 4.2 Stock Exchange
- 4.3 Stock Trading
- 4.4 What precaution must one take before investing in the stock markets?
- 4.5 Products in the Secondary Markets
- 4.6 Equity Investment
- 4.7 Debt Investment
- 4.8 Conclusion

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Derivatives

- 5.1 What is a Derivative?
- 5.2 What are types of Derivatives?
- 5.3 Derivative Products Traded on NSE
- 5.4 What is 'Commodity Exchange'?
- 5.5 Conclusion

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Depository

- 6.1 How is a depository similar to a bank?
- 6.2 Which are depositories in India?
- 6.3 Conclusion

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Mutual Funds

- 7.1 What is the Regulatory Body of Mutual Funds?**
- 7.2 What is NAV?**
- 7.3 Are there any risks involved in investing in Mutual Funds?**
- 7.4 What are the different types of Mutual Funds?**
- 7.5 What are the different investment plans that Mutual Funds offers?**
- 7.6 What are the rights that are available to a Mutual Fund holder in India?**
- 7.7 What is a Fund offer document?**
- 7.8 Active Passive Fund Management**
- 7.9 What is an ETF?**
- 7.10 Conclusion**

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Miscellaneous

- 8.1 Corporate Actions**
- 8.2 Index**
- 8.3 Clearing & Settlement and Redressal**
- 8.4 What is Book-closure/ Record date?**
- 8.5 What resources are available to investor/client for redressing his grievances?**
- 8.6 What is Arbitration?**
- 8.7 What is an Investor Protection Fund?**
- 8.8 What is SEBI SCORES?**
- 8.9 Conclusion**

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Concepts and Modes of Analysis

- 9.1 What is Simple Interest?**
- 9.2 What is Compound Interest?**
- 9.3 What is meant by the time value of money?**
- 9.4 How to go about systematically analyzing a company?**
- 9.5 Conclusion**

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Ratio Analysis

- 10.1 Liquidity Ratios**
- 10.2 Leverage/Capital structure ratios**
- 10.3 Profitability Ratios**
- 10.4 Illustration**
- 10.5 Conclusion**