

# **SANGUINE INSTITUTE OF FINANCIAL MARKET (SIFM)**

## **Mutual Funds Syllabus**

### **1**

#### **Introduction of Mutual Funds**

- 1.1 Concept & Structure of Mutual Funds in India**
- 1.2 Role of Custodian**
- 1.3 Registrar & Transfer Agent**
- 1.4 AMC**
- 1.5 New fund offer's & procedure for investing in NFO**
- 1.6 Investors Rights & Obligations**

### **2**

#### **Mutual Funds Products & Feature**

- 2.1 Concept of open ended close ended fund***
- 2.2 Types of Funds – equity, index, diversified large cap funds, mid cap funds & other equity schemes***
- 2.3 Concept of entry & exit load Expense Ratio***
- 2.4 Portfolio Turnover***
- 2.5 AUM***
- 2.6 Analysis of cash level in portfolio***

# 3

## **Gold ETF's**

- 3.1 Introduction of Exchange Traded Funds**
- 3.2 Market making by authorized participants**
- 3.3 Creation Units**
- 3.4 Portfolio Deposits and cash component**

# 4

## **Debt Funds**

- 4.1 Salient Features of debt fund**
- 4.2 Concept of interest rate scheme and credit risk**
- 4.3 Pricing of debt instrument**

# 5

## **Liquid Funds**

- 5.1 Salient features of Liquid Fund**
- 5.2 Floating rate scheme**
- 5.3 Portfolio churning in liquid funds**

# 6

## **Taxation**

- 6.1 Taxation of Capital Gains**
- 6.2 Index benefit and FMP**

# 7

## **Regulation**

- 7.1 Roles & Objectives of AMFI**
- 7.2 Different types of plans**
- 7.3 Systematic Investment Plan (SIP)**
- 7.4 Systematic Transfer Plan (STP)**
- 7.5 Systematic withdrawal Plan (SWP)**
- 7.6 Dividend Payout**